## SEC Form 4

# FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| Check this box if no longer subject to<br>Section 16. Form 4 or Form 5<br>obligations may continue. See<br>Instruction 1(b). |
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| Instruction 1(b).  |

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

#### OMB APPROVAL OMB Number: 3235-0287

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|                             | ss of Reporting Persor                     |       | 2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>NN INC</u> [ NNBR ] | (Check                  | tionship of Reporting Perso<br>all applicable)<br>Director<br>Officer (give title                | l(s) to Issuer<br>10% Owner<br>Other (specify |
|-----------------------------|--|-------|---|-------------------------|--|---|
| (Last)<br>207 MOCKING       | st) (First) (Middle)<br>7 MOCKINGBIRD LANE |       | 3. Date of Earliest Transaction (Month/Day/Year)<br>03/16/2016            | Х                       | below)<br>Sr. VP/Chief Financi   | below)  |
| (Street)<br>JOHNSON<br>CITY | TN   | 37604 | 4. If Amendment, Date of Original Filed (Month/Day/Year)                  | 6. Indivi<br>Line)<br>X | idual or Joint/Group Filing (<br>Form filed by One Report<br>Form filed by More than (<br>Person | ting Person                                   |
| (City)                      | (State)                                    | (Zip) |   |                         |  |   |

#### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction<br>Date<br>(Month/Day/Year) | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | Transaction<br>Code (Instr. |   | 4. Securities A<br>Disposed Of (<br>5) |               |                   | Securities<br>Beneficially         | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature of<br>Indirect<br>Beneficial<br>Ownership |
|---------------------------------|--|---|-----------------------------|---|--|---------------|-------------------|------------------------------------|---|---|
|                                 |  |   | Code                        | v | Amount                                 | (A) or<br>(D) | Price             | Transaction(s)<br>(Instr. 3 and 4) |   | (Instr. 4)  |
| Common Stock                    | 03/16/2016                                 |   | Α                           |   | 8,850 <sup>(1)</sup>                   | Α             | \$ <mark>0</mark> | 62,456                             | D   |   |

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of<br>Derivative<br>Security<br>(Instr. 3) | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) | 4.<br>Transa<br>Code (<br>8) |   | of    |     | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|---|---|--|---|------------------------------|---|-------|-----|--|--------------------|--|--|---|--|--|--|
|   |   |  |   | Code                         | v | (A)   | (D) | Date<br>Exercisable  | Expiration<br>Date | Title  | Amount<br>or<br>Number<br>of<br>Shares |   |  |  |  |
| Performance<br>Rights                               | (2)   | 03/16/2016                                 |   | Α                            |   | 8,850 |     | (3)  | (3)                | Common<br>Stock  | 8,850                                  | \$0   | 8,850  | D  |  |

#### Explanation of Responses:

1. Shares are restricted stock, which vest in three equal annual installments beginning on March 16, 2017.

2. Each performance right represents a contingent right to receive one share of common stock of NN, Inc. (the "Issuer").

3. The vesting of the performance rights is based on the relative total shareholder return ("TSR") of the Issuer, as compared to the TSR of the S&P SmallCap 600 Index over the period beginning on January 1, 216 and ending December 31, 2018. The Performance rights will vest on December 31, 2018 subject to the reporting person's continuous employment through December 31, 2018 and subject to certain conditions as set forth in the Issuer's 2011 Stock Incentive Plan. Performance rights granted represent the right to receive the target shares, and actual performance rights earned may be between 50% and 150% of the target shares. Performance rights that do not vest on December 31, 2018 expire automatically.

### /s/ William C. Kelly, Jr. by Power of Attorney

03/18/2016

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.