# SEC Form 4

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange or Section 30(h) of the Investment Company A

OMB APPROVAL OMB Number: 3235-0287

1. Name and Address of Reporting Person <sup>*</sup> Holder Richard D			2. Issuer Name <b>and</b> Ticker or Trading Symbol    NN INC NNBR		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)			
				X	Director	10% Owner		
(Last)	(First)	(Middle)	3. Date of Earliest Transaction (Month/Day/Year)	<b>-</b> x	Officer (give title below)	Other (specify below)		
207 MOCKINGBIRD LANE			04/30/2018		President & CEO			
(Street) JOHNSON			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	vidual or Joint/Group Filir	ng (Check Applicable		
CITY	TN	37604		X	Form filed by One Re	porting Person		
			—		Form filed by More the Person	an One Reporting		
(City)	(State)	(Zip)						

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Transaction Code (Instr.					5. Amount of Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership
			Code	v	Amount	(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	04/30/2018		A		21,509 <sup>(1)</sup>	A	\$0.00	165,568	D	
Common Stock	04/30/2018		М		14,752	Α	\$0.00	180,320	D	
Common Stock	04/30/2018		F		10,019(2)	D	\$20.3	170,301	D	

#### Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities) 1. Title of 3. Transaction 3A. Deemed 5. Number 6. Date Exercisable and Title and Amount 8. Price of 9. Number of 11. Nature 10. Conversion Execution Date, Ownership Transaction Expiration Date Derivative Date of of Securities Derivative derivative of Indirect Underlying Derivative Security (Instr. 3 and 4) Security or Exercise (Month/Day/Year) if any (Month/Day/Year) Code (Instr. Derivative (Month/Day/Year) Security Securities Form: Beneficial Direct (D) or Indirect (Instr. 3) Price of Derivative 8) Securities Acquired (Instr. 5) Beneficially Ownership (Instr. 4) Owned (A) or Disposed Following Reported Security (I) (Instr. 4) of (D) (Instr. 3, 4 and 5) Transaction(s) (Instr. 4) Amount or Number Date Expiration Date of Code v (A) (D) Exercisable Title Shares Performance Commor (3) 04/30/2018 М 14.665 (4) (4) 14,665 \$0.00 0 D Rights Stock

### Explanation of Responses:

1. Represents settlement of performance rights that vested on April 30, 2018 based on the return of invested capital of NN, Inc. (the "Issuer") over the performance period beginning February 1, 2015 and ending December 31, 2017.

2. Represents the amount of shares delivered to satisfy applicable tax withholding obligations in connection with the vesting of shares of restricted stock and performance rights.

3. Each performance right represented a contingent right to receive one share of common stock of the Issuer.

4. The vesting of the performance rights was based on the relative total shareholder return ("TSR") of the Issuer, as compared to the TSR of the S&P SmallCap 600 Index over the period beginning on February 1, 2015 and ending December 31, 2017. The performance rights vested on April 30, 2018. Performance rights granted represented the right to receive the target shares, and actual performance rights earned resulted in a payout of 100.6% of the target shares.

### Remarks:

/s/ Matthew S. Heiter by Power

of Attorney

05/02/2018

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

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ange Act of 1934 Act of 1940			hours per respo	0.5			
)		all applicable Director Officer (giv below)		10% Owner Other (specify below)	у		